

# First order phase transitions in $Sp(2N)$



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within the  collaboration

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## Gravitational Waves

- **Non-Abelian BSM gauge sector** with deconfining high- $T$  transition
- 1st order transition leads to **Gravitational Wave background**
- **Could be detectable** at GW telescopes

Predictions require non-perturbative input  $\Rightarrow$  Lattice methods! <sup>2</sup>

## Symplectic Gauge Theories

- Recent interest in BSM models (with fermions)
- Give a minimal realisation of some **Dark Matter models**
- models of **composite Higgs with partially composite top**

# First-order phase transitions in gauge theories

- Finite temperature confinement-deconfinement transitions
- Order parameter typically: Polyakov loop (at least approximate)
- **Typically first order** except for  $SU(2)$
- $Sp(2N > 4)$ ,  $SU(N > 2)$ ,  $G_2$  are first order
  - Larger  $N_c \rightarrow$  stronger phase transition
- With sufficiently heavy fermions: Still a first order transition

Our approach is applicable to generic gauge groups!

# Where to expect 1st order transitions?

- Pure gauge with  $N_c > 2$
- Deconfinement transitions for heavy fermions:  $SU(3)$  with  $N_f = 2$  has  $m_\pi/T_c \approx 18(4)$
- Probably **no 1st order chiral** transition for all  $N_f < N_f^{cw}$  below conformal window (talk by Jan Philipp Klinger Monday)
- **Here: pure Yang-Mills** theory

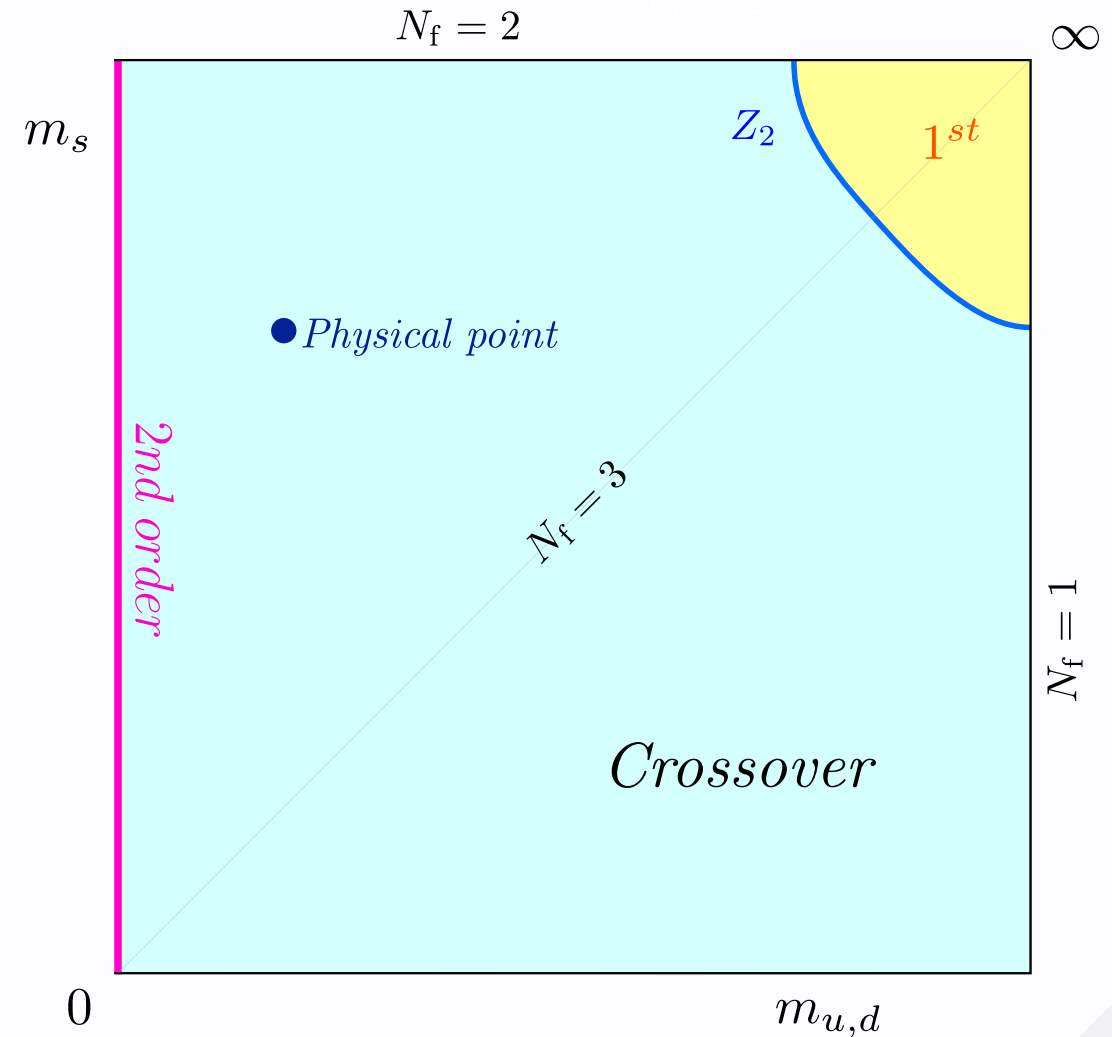


image: Cuteri et.al. [2107.12739]<sup>4</sup>

# Finite temperatures on the lattice

$$Z = \int D[A] \exp(-\beta S[A])$$

$$= \int D[A] \exp(-F_{\mu\nu}[A] F_{\mu\nu}[A] / g^2)$$

- Wilson action: link variable  $U$ , lattice spacing  $a$ ,  $V/a^4 = N_t N_s^3$

$$S[U] = 6V \left( 1 - \underbrace{u_p[U]}_{\text{average plaquette}} \right)$$

temperature  $1/T = a(\beta) N_t$

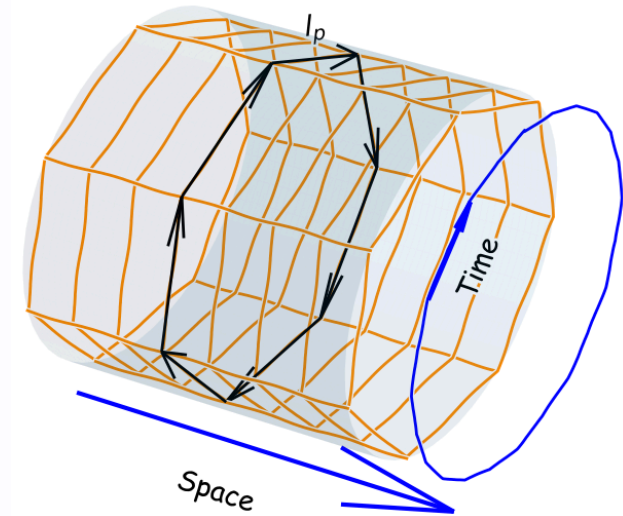
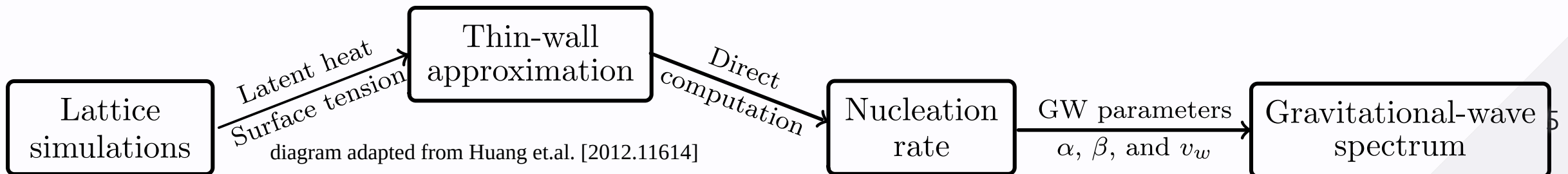


image courtesy of D. Mason

- Relevant Observables: surface tension  $\sigma_{cd}$ , latent heat  $L_h$



# 1st order transitions & importance sampling

- probability  $P(E)$  has two peaks (phase coexistence)
- little tunneling between phases
- worsens as  $V \rightarrow \infty$  and  $a$
- Relates to surface tension  $\sigma_{cd}$  and latent heat  $L_h$

$$\frac{P_{\min}}{P_{\max}} \propto \sqrt{N_s} \exp\left(-2 \frac{N_s^2}{N_t^2} \frac{\sigma_{cd}}{T_c^3}\right)$$

$$\Delta \langle u_p \rangle_{\beta_c} \propto L_h / T_c^4$$

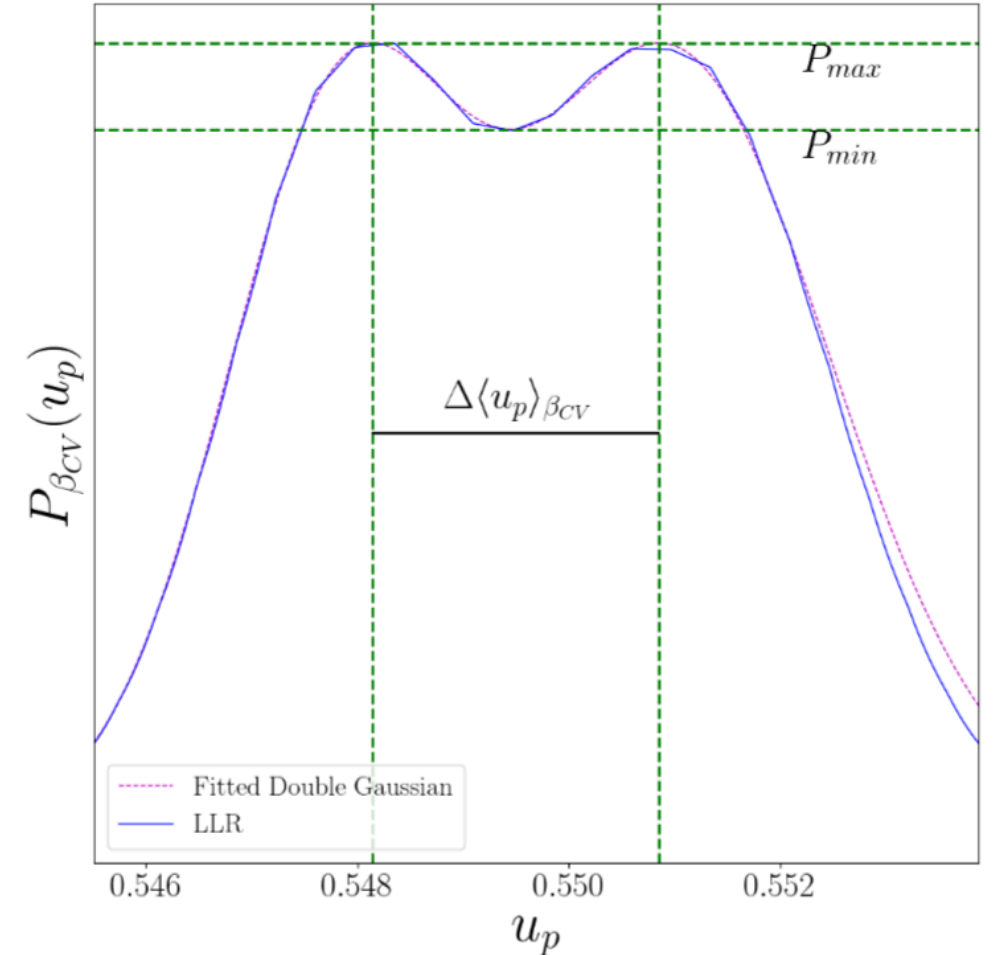


image: thesis D. Mason

# Density-of-states approaches

- Microcanonical approach

$$Z = \int D[A] e^{\beta S[A]} = \int dE \rho(E) e^{\beta S[A]}$$

$$\rho(E) = \int D[A] \delta(S[A] - E)$$

- $\rho(E) \Leftrightarrow$  any observable  $O(E)$
- Determine  $\rho(E)$  for fixed  $E$ :  
Avoid importance sampling problems

- Operators that are functions of the energy  $E = S[A]$

$$\begin{aligned} \langle O(E) \rangle &= \frac{1}{Z} \int D[A] O(S[A]) e^{\beta S[A]} \\ &= \frac{1}{Z} \int dE \rho(E) O(E) e^{\beta E} \end{aligned}$$

- can be generalized to arbitrary operators  $O[A] \neq O(E)$

# Determining the $\rho(E)$ : Linear Logarithmic Relaxation (LLR)

$$\rho(E) = \rho(0) \exp \left[ - \int_0^E d\bar{E} a(\bar{E}) \right]$$

- Determine  $a(E)$  in discrete intervals  $E \in E_k$  of width  $\delta$
- Restrict action  $S[A]$  to energy interval for given  $a(E_k)$

$$\langle\langle O \rangle\rangle(\hat{a}) = \int D[A] O[A] W(E_k, \delta) \exp [(S[A] - E_k) \hat{a}]$$

$$W(x, \delta) = \begin{cases} 1 & \text{if } x \in [-\delta/2, +\delta/2] \\ 0 & \text{else} \end{cases}$$

- The correct logarithmic density-of-states  $a(E)$  fulfils

$$\boxed{\langle\langle S[A] - E_k \rangle\rangle(\hat{a} = a(E)) = 0} \Rightarrow \text{restricted } E \text{ expectation values}$$

## Robbins-Monro (RM) iterative method

$$\langle\langle S[A] - E_k \rangle\rangle(a) = 0$$

- a stochastic Newton method

$$a_{n+1} = a_n - c_n \langle\langle S[A] - E_k \rangle\rangle(a_n)$$

- condition on coefficient

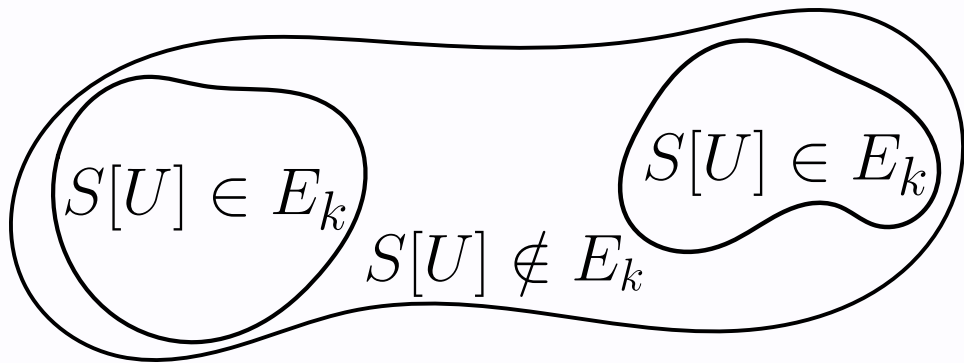
$$\sum_n c_n = \infty, \quad \sum_n c_n^2 \rightarrow \text{finite}$$

1. Start with initial  $a_0$
2. Update with RM  $n$  times  $\Rightarrow a_n$
3. Repeat  $N$  times  $\Rightarrow \{a_n^{(i)}\}$
4. Measure observables: error estimate from  $N$  repetitions

**Lattice setup:** HiRep extended to  $Sp(2N)$ , heatbath updates with domain decomposition, studies of  $SU(N)$  group are work-in-progress

# Preserving ergodicity and approaching the continuum

- $\langle\langle O \rangle\rangle$  in restricted energy interval  
 $\Rightarrow$  potential ergodicity issues



- Multiple intervals *in parallel*
  - allow replica to leave interval by swapping with neighbours

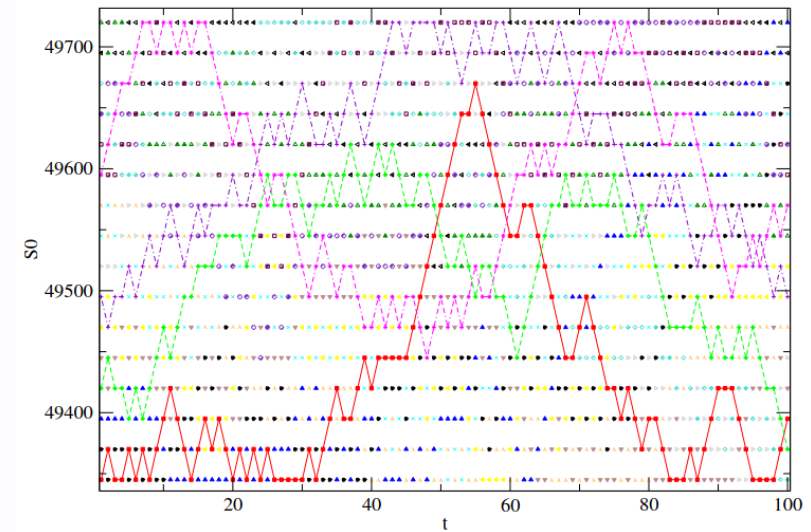


image: Pellegrini et.al. (LATTICE2016)

Required limits:

1. energy interval width  $\delta \rightarrow 0$
2. thermodynamic limit  $N_s \rightarrow \infty$
3. continuum  $a \rightarrow 0 \Leftrightarrow N_t \rightarrow \infty$  <sup>10</sup>

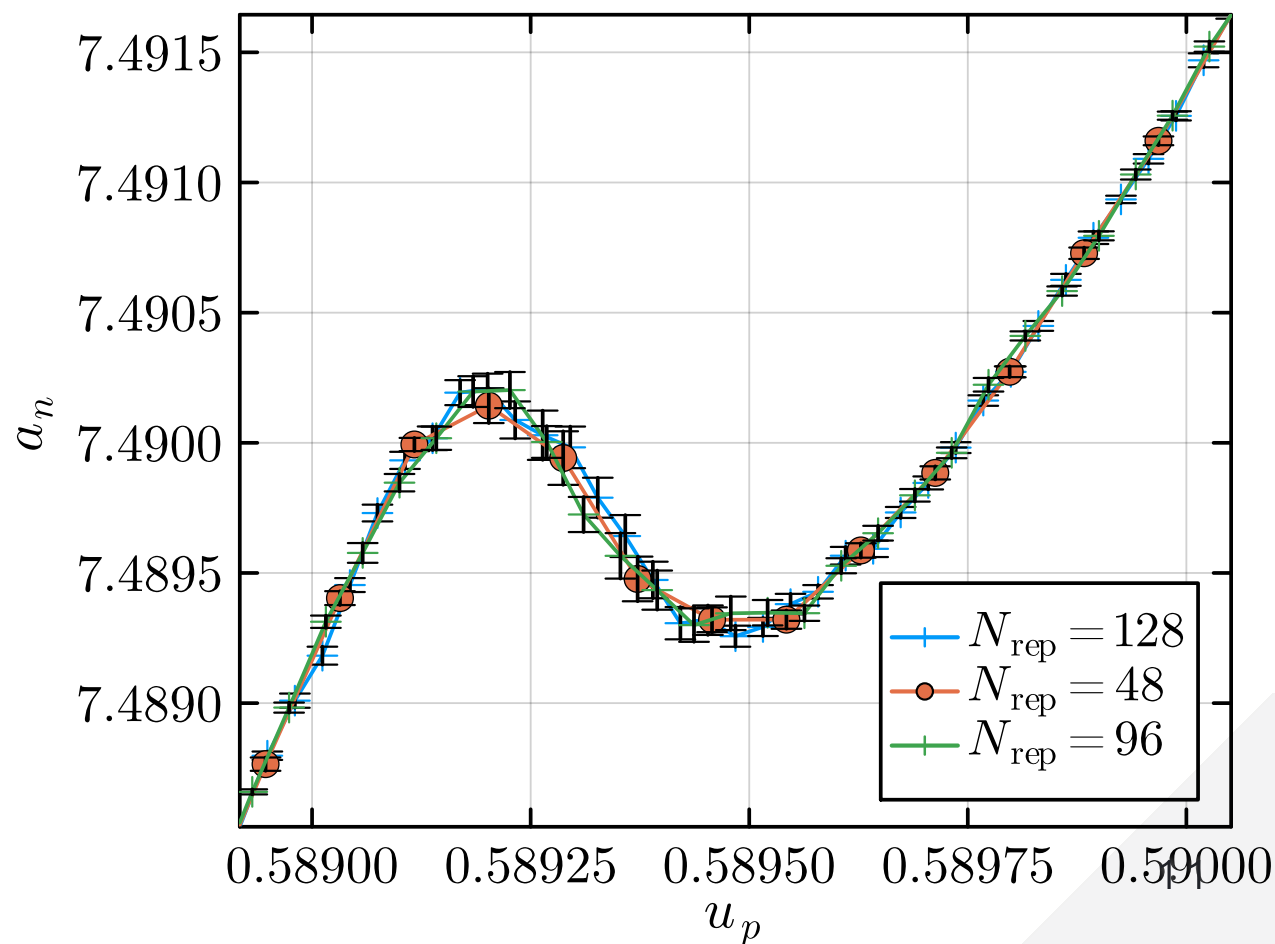
# Results: $Sp(4)$ with $N_t = 4, 5$

parameters studied

$N_t$	$N_s$	$u_p^{\min}$	$u_p^{\max}$	$N_{\text{rep}}$	$N_{\text{repeats}}$	$n_{\text{NR}}$	$n_{\text{RM}}$
5	48	0.588	0.592	48	25	10	60
5	48	0.588	0.592	96	25	10	50
5	56	0.588	0.592	128	25	10	50
5	56	0.588	0.592	48	25	10	50
5	56	0.588	0.592	96	25	10	50
5	64	0.588	0.592	95	20	7	50
5	72	0.588	0.592	95	20	11	50
5	80	0.588	0.59	64	20	15	30
4	20	0.565	0.58	64	20	10	300
4	24	0.565	0.58	64	20	10	300
4	28	0.565	0.58	64	20	10	200
4	40	0.568	0.576	128	25	10	100
4	48	0.568	0.576	128	26	10	100

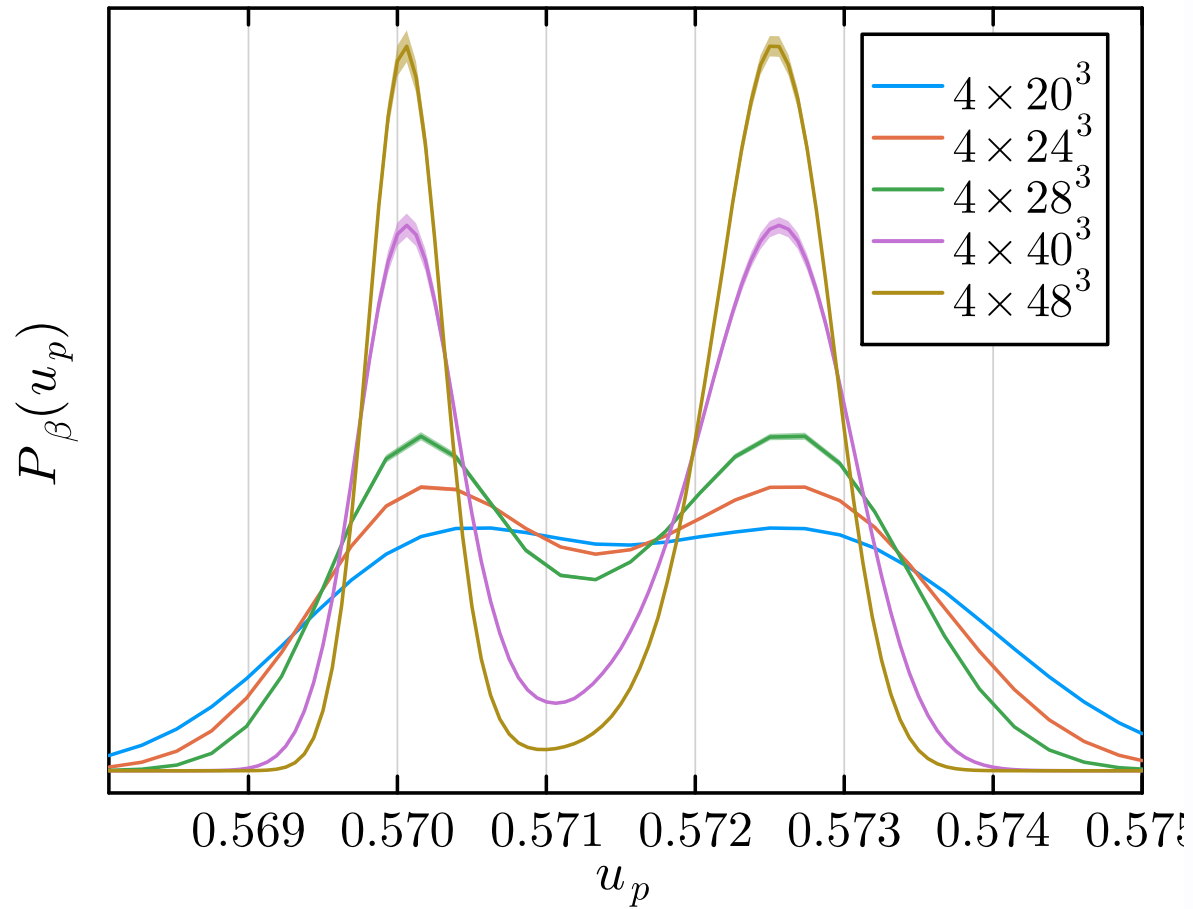
varying energy interval width  $\delta$

$$N_t \times N_s^3 = 5 \times 56^3$$

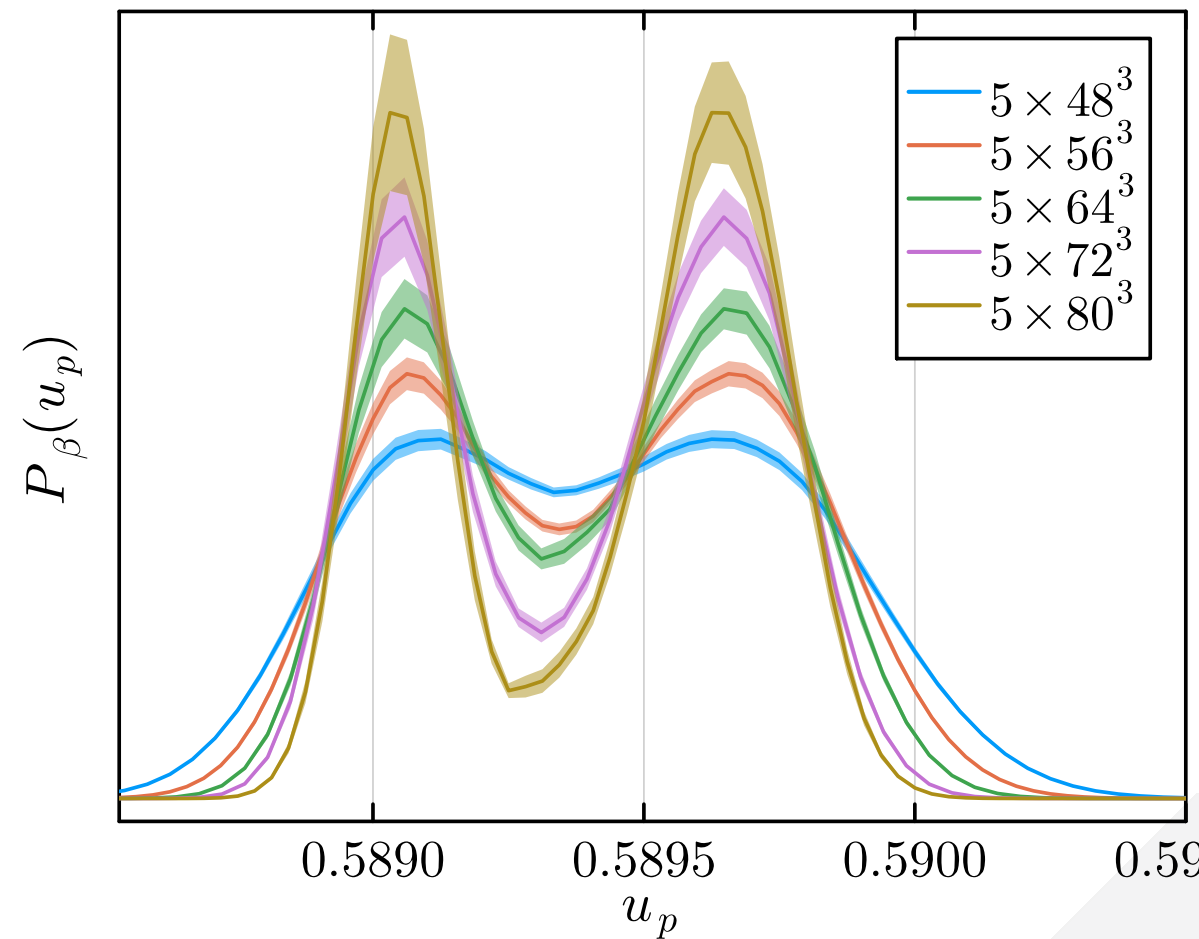


# Results: $Sp(4)$ with $N_t = 4, 5$

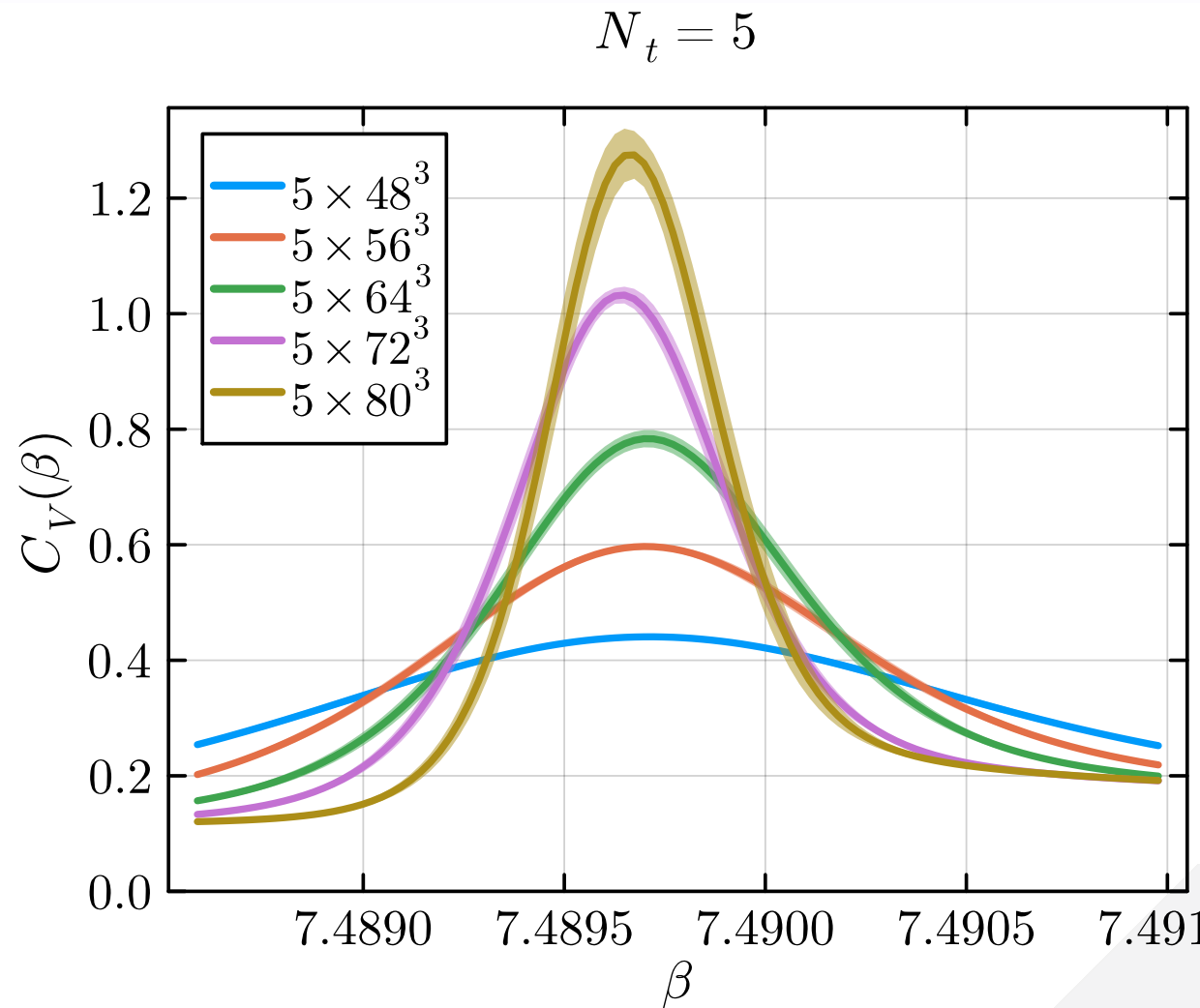
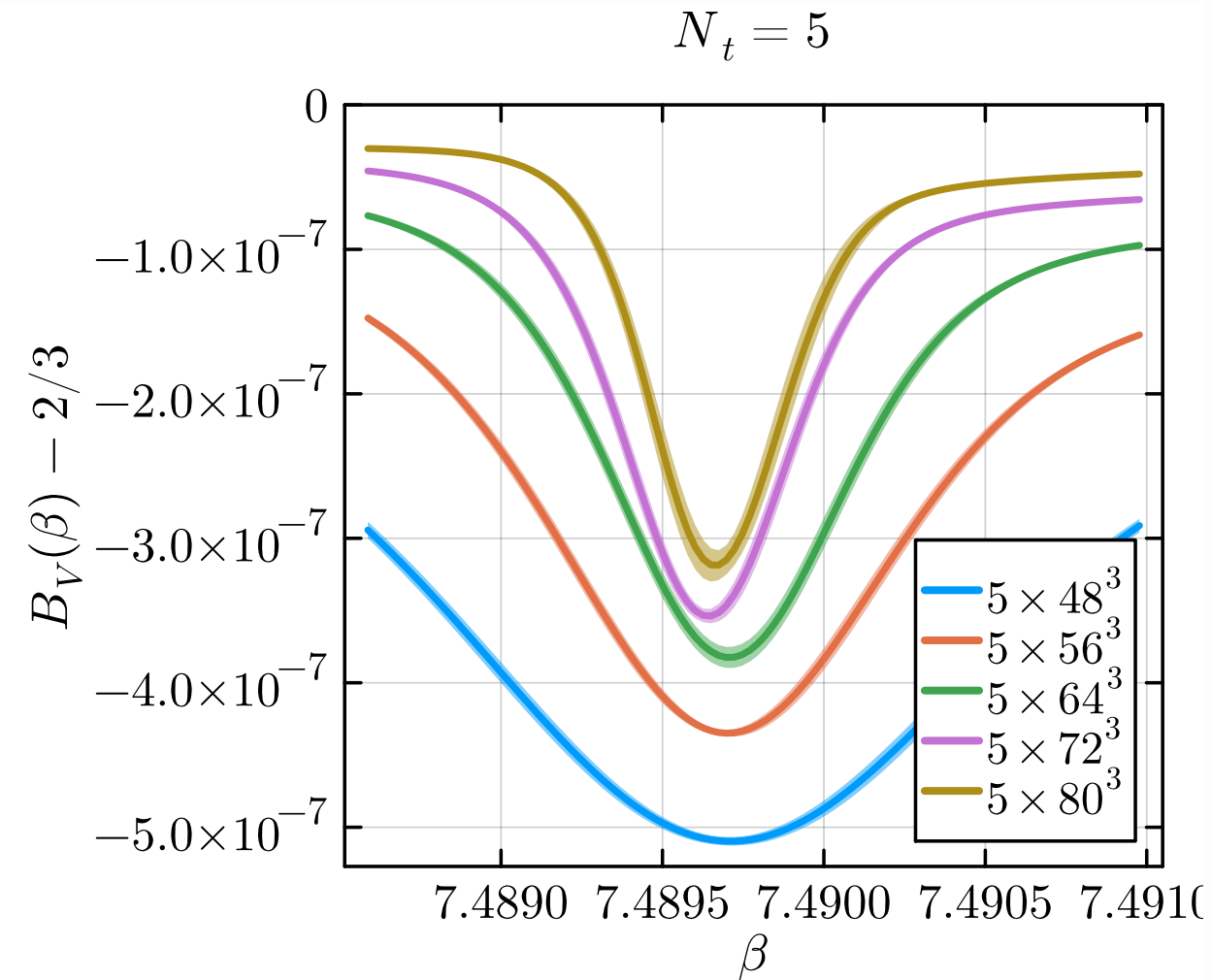
$N_t = 4$



$N_t = 5$

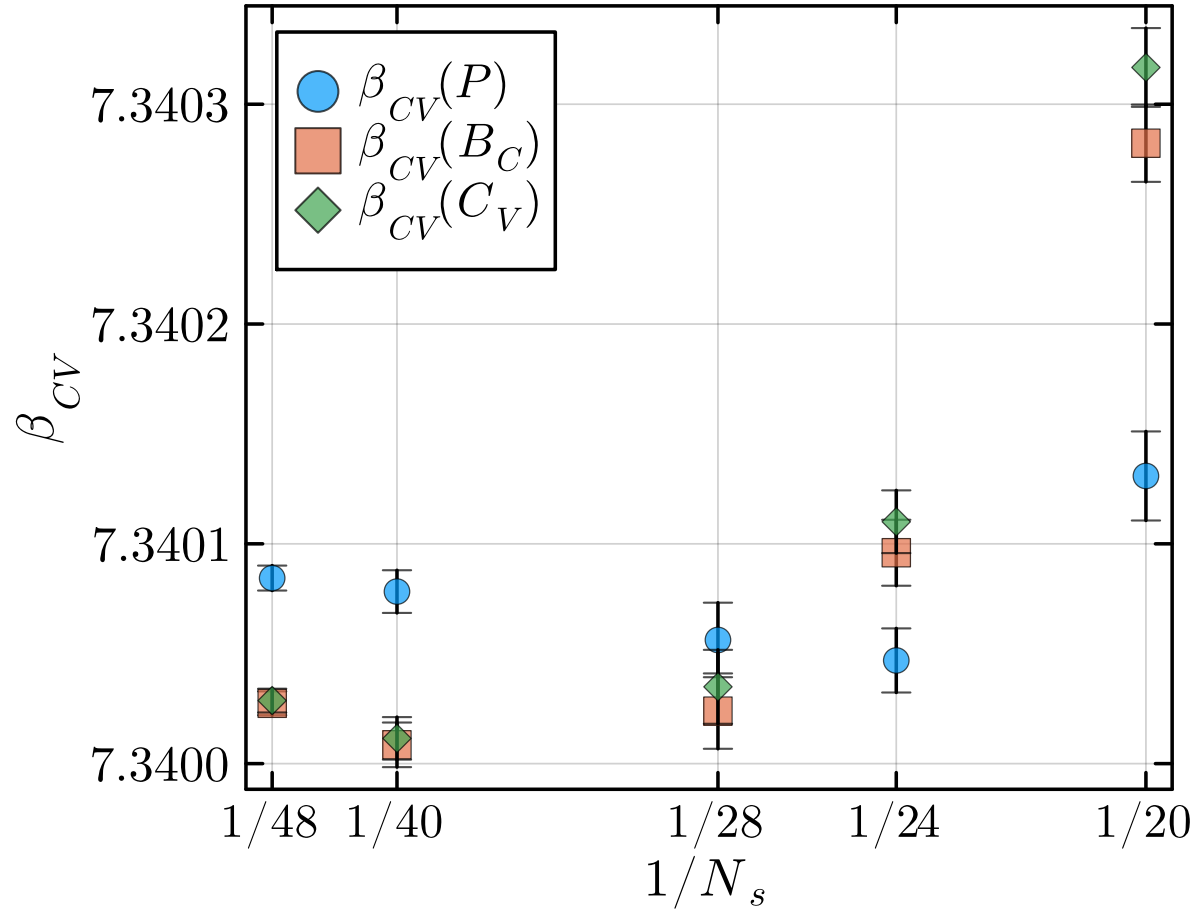


# Results: Determining critical $\beta_c$

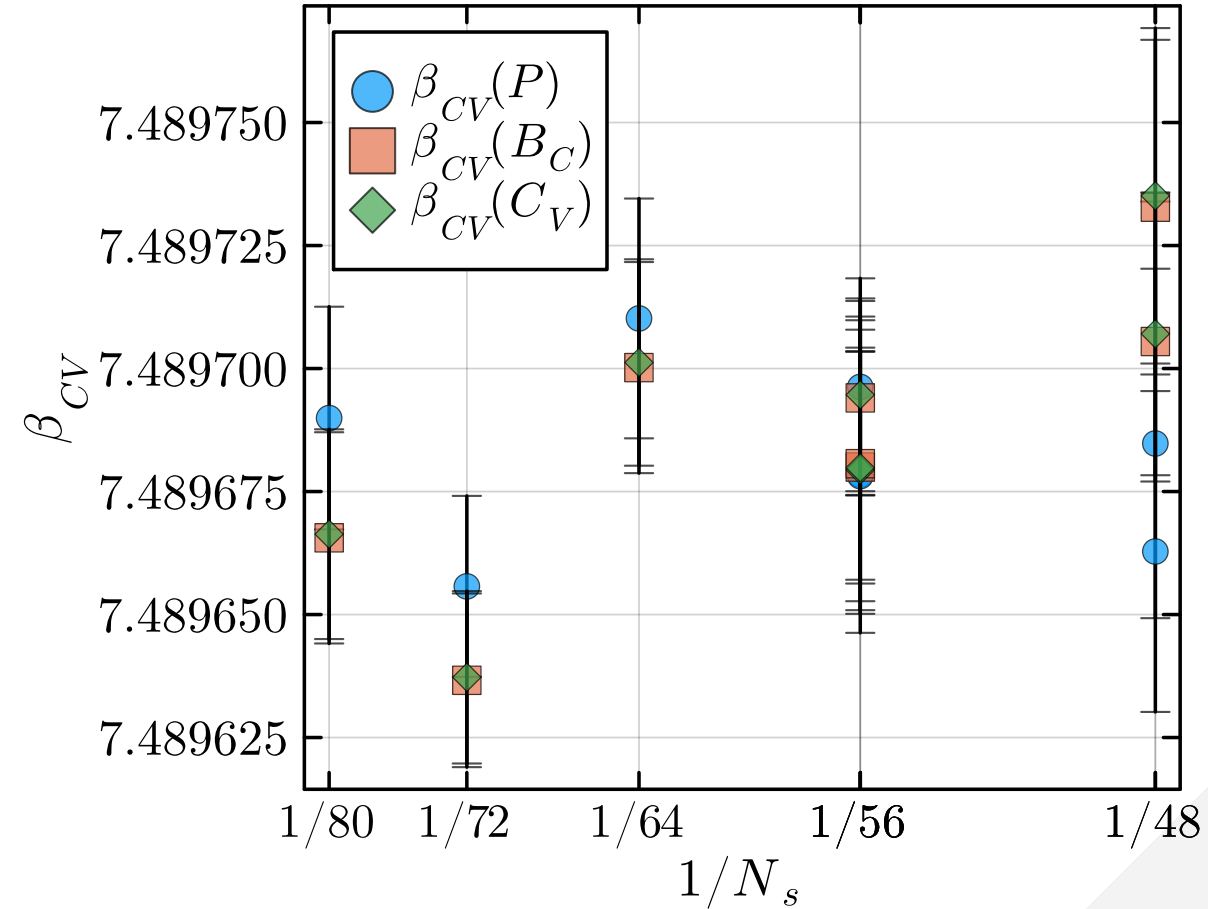


# Results: Determining critical $\beta_c$

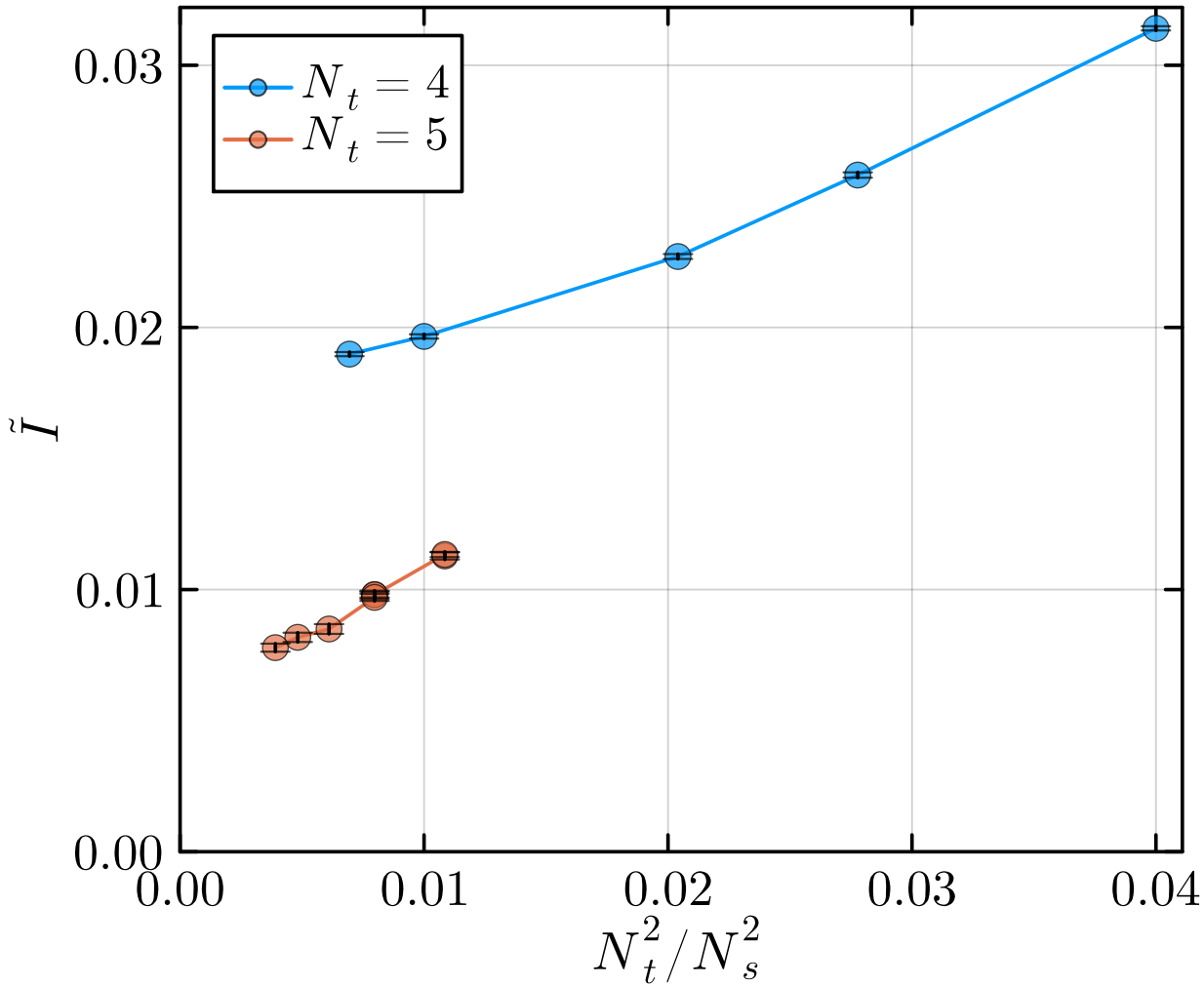
$N_t = 4$



$N_t = 5$



# Results: Surface tension



- Determined from

$$\tilde{I} = -\frac{1}{2} \left( \frac{N_t}{N_s} \right)^2 \log \left( \frac{P_{\min}}{P_{\max}} \right) + \frac{1}{4} \left( \frac{N_t}{N_s} \right)^2 \log(N_s)$$

- approaches dimensionless surface tension

$$\lim_{N_s/N_t \rightarrow \infty} \tilde{I} = \frac{\sigma_{cd}}{T_C^3}$$

- strong discretization artefacts observed

# Summary

- First order transitions occur for heavy fermions and in pure gauge
- Standard Lattice techniques struggle with 1st order transitions
- LLR provides an alternative approach to perform first-principles lattice calculations!
- More work needed to take the full continuum limit

**Thank you**